

FROM :Atty Chisholm

FAX NO. :4132430552

May. 01 2006 05:00PM P2

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7590 02/28/2006

Malcolm J. Chisholm, Jr.
 P.O. Box 278
 220 Main Street
 Lee, MA 01238
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 01 FC:1501 1400.00 DA
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Malcolm J. Chisholm, Jr.	(Depositor's name)
<i>Malcolm J. Chisholm, Jr.</i>	(Signature)
May 1, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/702,181	11/05/2003	Jeremy A. Schroeter	C-3064	7541

TITLE OF INVENTION: PERFORMANCE ENHANCING BREAK-IN METHOD FOR A PEM FUEL CELL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	05/30/2006
EXAMINER	ART UNIT		CLASS-SUBCLASS		
HODGE, ROBERT W	1746		429-017000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
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1 MALCOLM J. CHISHOLM, Jr.
 2 _____
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

UTC FUEL CELLS, LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

South Windsor, Connecticut

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

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A check in the amount of the fee(s) is enclosed.
 Payment by credit card. Form PTO-2038 is attached.
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1307 (enclose an extra copy of this form).

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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Malcolm J. Chisholm, Jr.Date May 1, 2006Typed or printed name Malcolm J. Chisholm, Jr.Registration No. 33,665

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Date



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Malcolm J. Chisholm, Jr.

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The submitted papers are: 1. This Certificate of Transmission (1 page); and 2. "PART B - FEE(S) TRANSMITTAL" (two duplicates) (2 pages); all for U.S. Patent App. Serial No. 10/702,181, filed on 11/05/2003, entitled "PERFORMANCE ENHANCING BREAK-IN METHOD FOR A FUEL CELL POWER PLANT".

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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